



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/511,934

10/19/2004

Petri Ahonen

879A.0118.U1(US)

2516

29683 7590 10/28/2008

HARRINGTON & SMITH, PC  
4 RESEARCH DRIVE, Suite 202  
SHELTON, CT 06484-6212

EXAMINER

ARMOUCHE, HADI S

ART UNIT

PAPER NUMBER

2432

MAIL DATE

DELIVERY MODE

10/28/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/511,934	<b>Applicant(s)</b> AHONEN, PETRI	
	<b>Examiner</b> HADI ARMOUCHE	<b>Art Unit</b> 2432	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 03 July 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 19 October 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. This communication is in response to the Amendment under 37 CFR 1.111, filed on 07/03/2008. Claims 1-6 have been amended, claims 7-11 have been added. Claims 1-11 remain pending.

### ***Response to Arguments***

2. Applicant's arguments, filed on 07/03/2008, with respect to claim 1 as anticipated by Walter et al. (pages 7 and 8 of the remarks) have been fully considered and are persuasive. The rejection of claim 1 as anticipated by Walter et al. has been withdrawn.

3. Applicant's arguments filed 07/03/2008 with respect to claims 1 and 5 as anticipated by Relander et al. (pages 10 and 11 of the remarks) have been fully considered but they are not persuasive.

4. Applicant argues (pages 9 and 11 of the remarks) that Relander does not teach *"special server terminal device configured to manage at least one of encryption and synchronization applications and to distribute these based on an established criterion to the other pieces of terminal equipment"*. Rather, Relander discloses a key stream generator and a synchronization control instead of encryption and synchronization applications.

5. In response to the above mentioned argument, applicant's interpretation of the applied reference is noted. However, both the key stream generator and the synchronization control are applications located in the mobile station/terminal device. Both are equivalent to and perform the functionality of encryption and synchronization applications specified in claim 1.

6. Applicant argues (page 10 of the remarks) that Relander does not teach “a *terminal equipment configured to download and manage said application.*”

7. In response to the above mentioned argument, applicant’s interpretation of the applied reference is noted. However, Relander in paragraphs 0031-0033 teaches that the 2 communicating ends (20 and 30), such as cell phones, have a key stream generator and a synchronization control. These can be applications as explained earlier (see bullet point 5 above). These application receive (download) the initialization vector to start synchronization and they manage the data as described in paragraphs 0031 through 0033.

***Claim Rejections - 35 USC § 102***

8. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

9. Claims 1, 4-5, 7 and 9-11 are rejected under 35 U.S.C. 102(a) as being anticipated by Relander et al. (US 2002/0066012) referred to hereinafter by Relander.

10. Regarding claim 1, Relander teaches a *system configured to arrange end-to-end (e2e) encryption between two or more pieces of terminal equipment communicating with one another [abstract], said terminal equipment comprising:*

*a codec configured to convert an audio signal into a dataflow and vice versa*

[paragraph 0026],

*a module configured to manage encryption parameters (initialization vector IV) stored in connection with the terminal equipment [paragraph 0032],*

*an encryption key stream generator KSG configured to generate a key stream segment (KSS) with the said encryption parameters [paragraphs 0006, 0026 and 0032],*

*a module configured to encrypt a dataflow and decrypt of the encryption with the generated key stream segment [equation in paragraph 0026],*

*a module configured to synchronize of the encrypted dataflow and to de-synchronize the synchronization [abstract and paragraph 0007], and*

*at least one interface (terminal) configured to receive the encryption parameters from the data communication network [paragraph 0006],*

*and wherein at least one of the pieces of terminal equipment is configured to function as a special server terminal device, to manage and distribute at least the encryption parameters concerning a data communication network to the other pieces of terminal equipment based on an established criterion, and wherein*

*the special server terminal device is configured to manage at least one of encryption and synchronization applications and to distribute these based on an established criterion to the other pieces of terminal equipment [paragraphs 0006 and 0007] and*

*the terminal equipment is configured to download and manage said applications, where the terminal equipment comprises a data memory configured to store the*

Art Unit: 2432

*applications and a processor and operating memory configured to execute the applications* [paragraph 0006, 0007, 0009, 0026, 0031 and 0033].

11. The methods of claim 7 and 11 have limitations of claim 1 and hence same rejection rational is applied.

12. Regarding claim 4, Relander teaches a *system wherein the downloading of applications at the terminal equipment is arranged to take place in a self-organizing manner, such as, for example, as SDS (Short Data Service) messages* [paragraphs 008 and 0037 where the packets received are re-arranged to the original data sent].

13. The method of claim 9 has the same limitation as the system of claim 4 and hence same rejection rational is applied.

14. Regarding claim 5, Relander teaches an *apparatus, comprising:*

*a module configured to carry out encryption* [paragraphs 0006 and 0026],  
*one or more modules configured to carry out synchronization* [abstract and

paragraph 0007], *and*

*a module configured to receive and manage at least encryption keys, and a module configured to download and manage at least one of dynamic encryption and synchronization applications, wherein a functionality of the apparatus to carry out end-to-end encrypted communication with another apparatus is implemented by the at least one dynamic (continuous) application based on a program* [paragraphs 006,007, 009, 0026, 0031 and 0033].

Art Unit: 2432

15. Regarding claim 10, Relander teaches that *the method is implemented in a wireless terminal equipment* (cell phone/mobile station/TETRA base station) [paragraph 0002 lines 1-5 and paragraph 0025 lines 12-13].

***Claim Rejections - 35 USC § 103***

16. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

17. Claims 2, 3, 6 and 8 are rejected under 35 U.S.C. 103(a) as being anticipated by Relander in view of Papineau (US 7,092,703).

18. Regarding claim 2, Relander does not explicitly teach that the *system is configured to run applications according to the J2ME (Java 2 Platform Micro Edition) specification*.

Papineau teaches that the *system is configured to run applications according to the J2ME (Java 2 Platform Micro Edition) specification* [col 2, lines 9-19 and 31-40].

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to run application according to J2ME in Relander's system/phone as taught by Papineau. The suggestion/motivation would have been to run application in mobile devices that implement J2ME since they are memory constraint and have limited processor [Papineau, col 2, lines 41-52].

19. Regarding claim 3, Papineau teaches that the *system is configured in accordance with the MIDP (Mobile Information Device Profile) specification* [col 2, lines 53-58].

20. Regarding claim 6, Papineau teaches that the terminal equipment, including at least a SIM module, characterized in that the said application is adapted to arrange command functionality at least at the interface between the SIM module and the terminal equipment through the programming interface (MIDP API) of the application [col 2, lines 53-58].

21. The method of claim 8 has the same limitation as the apparatus of claim 6 and hence same rejection rational is applied.

### ***Conclusion***

22. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.



Any inquiry concerning this communication or earlier communications from the examiner should be directed to HADI ARMOUCHE whose telephone number is (571)270-3618. The examiner can normally be reached on M-Th 7:30-5:00 and Fridays half day.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on (571) 272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/H. A./  
HADI ARMOUCHE  
Examiner, Art Unit 2432

/Gilberto Barron Jr/  
Supervisory Patent Examiner, Art Unit 2432